

UNIVERSITY OF CINCINNATI

COLLEGE *of* LAW

Harold C. Schott Scholarship Award Lecture

Protecting the Retail Investor in an Age of Financial Uncertainty

*A discussion on the the rights and remedies of retail investors and
proposals for reform.*



presented by

Barbara Black

Charles Hartsock Professor of Law
and Director, Corporate Law Center

February 24, 2009

12:15 - 1:15 p.m.

College of Law - Room 114

One hour of general CLE has been applied for in Ohio and Kentucky
and approval is expected.



Barbara Black

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FIRST CLASS
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Permit No. 133

University of Cincinnati College of Law Professor Barbara Black will present a lecture on “Protecting the Retail Investor in an Age of Financial Uncertainty.” She will discuss her scholarship on the rights of retail investors and the remedies available to them for poor financial advice and securities fraud. Professor Black recently co-authored the first major empirical study on investors’ perceptions of the securities arbitration process.

both the majority and dissenting opinions in the Supreme Court’s opinion, *Basic, Inc. v. Levinson*, on fraud on the market. Professor Black is frequently quoted in the media on issues relating to securities arbitration and investors’ rights.

About the Award: The Harold C. Schott Scholarship Award recognizes outstanding research and scholarly achievement by a member of the faculty of the University of Cincinnati College of Law.

Professor Black is the Charles Hartsock Professor of Law and the Director of the Corporate Law Center. The recipient of the 2008 Harold C. Schott Scholarship Award, she has authored numerous law review articles on securities arbitration, securities fraud, and RICO claims that have been frequently cited, including by

RSVP to Toni McGuire at 513.556.0090.

For more information, visit our website at www.law.uc.edu.